

Real Estate Supplement

1) Please complete the following:

	Total number of transactions in the past 12 months?	Location(s) of real estate transactions?	Average and maximum values of real estate closings in the past 12 months?
Residential Real Estate			Average:\$ _____ Maximum:\$ _____
Commercial Real Estate			Average:\$ _____ Maximum:\$ _____

2) Please complete the following grid for your top 5 clients (e.g. mortgage brokers, lenders) providing real estate closing business to the firm:

Institution Name	% of real estate closing revenues provided by Institution

3) To the best of your knowledge, have any of your institutional clients filed for bankruptcy or faced any similar receivership or rehabilitation proceedings? YES _____ NO _____
If yes, please explain on separate sheet of paper.

4) Who prepares the residential real estate documents and attends the closings, and how is this supervised?

5) What procedures & protocols are in place to insure that all documents, including but not limited to, those documents memorializing the financial terms and conditions for the loan, are fully and properly explained to the borrower?

6) Are all relationships of the law firm to any other involved party (e.g. mortgage brokers, lenders) disclosed to the borrower? YES _____ NO _____

7) Are all fees properly disclosed? YES _____ NO _____

Signature

Date

Print Name

Title